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ARIZONA CORPORATION
COMMISSION

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

RECEIVED
MAR 12 2002

Director of Utilities

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

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SW-02573A
KINGS RANCH UNIT II TREATMENT PLANT
P. O. BOX 109
BLACK CANYON CITY AZ 85324-

ANNUAL REPORT

FOR YEAR ENDING

12	31	2001
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FOR COMMISSION USE

ANN05	01
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PROCESSED BY:

3-15-02 con

SCANNED

COMPANY INFORMATION

Company Name (Business Name) Kings Ranch Unit II Treatment Plant

Mailing Address P. O. Box 109
(Street)

Black Canyon City Arizona 85324
(City) (State) (Zip)

(623) 374-5532 N/A N/A
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address N/A

Local Office Mailing Address P. O. Box 109
(Street)

Black Canyon City Arizona 85324
(City) (State) (Zip)

(623) 374-5532 N/A N/A
Local Office Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address N/A

MANAGEMENT INFORMATION

Management Contact: Philip Albins Owner
(Name) (Title)

P. O. Box 109 Black Canyon City Arizona 85324
(Street) (City) (State) (Zip)

(623) 374-5532 N/A N/A
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address N/A

On Site Manager: Philip Albins
(Name)

P. O. Box 109 Black Canyon City Arizona 85324
(Street) (City) (State) (Zip)

(623) 374-5532 N/A N/A
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address N/A

Statutory Agent: Philip Albins

(Name)

P. O. Box 109

(Street)

Black Canyon City

(City)

Arizona

(State)

85324

(Zip)

(623) 374-5532

Telephone No. (Include Area Code)

N/A

Fax No. (Include Area Code)

N/A

Pager/Cell No. (Include Area Code)

Attorney: _____

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

OWNERSHIP INFORMATION

Check the following box that applies to your company:

☒ **Sole Proprietor (S)**

☐ **C Corporation (C) (Other than Association/Co-op)**

☐ **Partnership (P)**

☐ **Subchapter S Corporation (Z)**

☐ **Bankruptcy (B)**

☐ **Association/Co op (A)**

☐ **Receivership (R)**

☐ **Limited Liability Company**

☐ **Other (Describe)** _____

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ **APACHE**

☐ **COCHISE**

☐ **COCONINO**

☐ **GILA**

☐ **GRAHAM**

☐ **GREENLEE**

☐ **LA PAZ**

☐ **MARICOPA**

☐ **MOHAVE**

☐ **NAVAJO**

☐ **PIMA**

☐ **PINAL**

☐ **SANTA CRUZ**

☒ **YAVAPAI**

☐ **YUMA**

☐ **STATEWIDE**

COMPANY NAME

Kings Ranch Unit II Treatment Plant

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
351	Organization			
352	Franchises			
353	Land and Land Rights			
354	Structures and Improvements	5,006	2,347	2,659
355	Power Generation Equipment			
360	Collection Sewers – Force			
361	Collection Sewers – Gravity	79,100	27,558	51,542
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells			
380	Treatment and Disposal Equip.	68,795	24,564	44,231
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment	8,793	2,298	6,495
390	Office Furniture and Equipment			
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	161,694	56,767	104,927

This amount goes on the Balance Sheet Acct. No. 108


COMPANY NAME

Kings Ranch Unit II Treatment Plant

CALCULATION OF DEPRECIATION EXPENSE

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
351	Organization			
352	Franchises			
353	Land and Land Rights			
354	Structures and Improvements	5,006	.0357	179
355	Power Generation Equipment			
360	Collection Sewers – Force			
361	Collection Sewers – Gravity	79,100	.02	1,582
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells			
380	Treatment and Disposal Equip.	68,795	.0535	3,683
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment	8,793	.0871	766
390	Office Furniture and Equipment			
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	161,694	.0384	6,210

This amount goes on Comparative Statement of Income and Expense Acct. 403



COMPANY NAME	Kings Ranch Unit II Treatment Plant
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BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 17,907	\$ 7,323
132	Special Deposits		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 17,907	\$ 7,323
	FIXED ASSETS		
101	Utility Plant in Service	\$ 161,694	\$ 161,694
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	50,557	56,767
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 111,137	\$ 104,927
	TOTAL ASSETS	\$ 129,044	\$ 112,250

NOTE: Total Assets on this page should equal **Total Liabilities and Capital** on the following page.

COMPANY NAME

Kings Ranch Unit II Treatment Plant

BALANCE SHEET (CONTINUED)

Acct. No.	LIABILITIES	BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	CURRENT LIABILITIES		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	240	240
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities	641	202
	TOTAL CURRENT LIABILITIES	\$ 881	\$ 442
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ 0	\$ 0
	DEFERRED CREDITS		
252	Advances in Aid of Construction	\$	\$
253	Other Deferred Credits		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$ 0	\$ 0
	TOTAL LIABILITIES	\$ 881	\$ 442
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$	\$
211	Other Paid in Capital		
215	Retained Earnings		
218	Proprietary Capital (Sole Props and Partnerships)	128,163	111,808
	TOTAL CAPITAL	\$ 128,163	\$ 111,808
	TOTAL LIABILITIES AND CAPITAL	\$ 129,044	\$ 112,250

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

	OPERATING REVENUES	PRIOR YEAR	TEST YEAR
521	Flat Rate Revenues	\$ 11,244	\$ 12,600
522	Measured Revenues		
536	Other Wastewater Revenues	0	12,000
	TOTAL REVENUES	\$ 11,244	\$ 24,600
	OPERATING EXPENSES		
701	Salaries and Wages	\$	\$
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense		
715	Purchased Power	3,169	3,190
716	Fuel for Power Production		
718	Chemicals		
720	Materials and Supplies	445	1,035
731	Contractual Services – Professional	1,130	3,390
735	Contractual Services – Testing		
736	Contractual Services – Other	26,159	24,925
740	Rents		
750	Transportation Expense		
755	Insurance Expense		
765	Regulatory Commission Expense		
775	Miscellaneous Expense	118	864
403	Depreciation Expense	6,210	6,210
408	Taxes Other Than Income		
408.11	Property Taxes		1,342
409	Income Taxes		
	TOTAL OPERATING EXPENSES	\$ 37,231	\$ 40,956
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXP	\$ 0	\$ 0
	NET INCOME/(LOSS)	\$ (25,987)	\$ (16,356)

COMPANY NAME

Kings Ranch Unit II Treatment Plant

SUPPLEMENTAL FINANCIAL DATA**Long-Term Debt**
N/A

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

COMPANY NAME Kings Ranch Unit II Treatment Plant

WASTEWATER COMPANY PLANT DESCRIPTION

TREATMENT FACILITY

TYPE OF TREATMENT (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	Extended Aeration
DESIGN CAPACITY OF PLANT (Gallons Per Day)	9,000

LIFT STATION FACILITIES

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
Kings Ranch II Subdivision	1	1	25	25

FORCE MAINS

Size	Material	Length (Feet)
4-inch		
6-inch		

MANHOLES

Type	Quantity
Standard	29
Drop	

CLEANOUTS

Quantity
5

COMPANY NAME

Kings Ranch Unit II Treatment Plant

WASTEWATER COMPANY PLANT DESCRIPTION CONTINUED**COLLECTION MAINS**

Size (in inches)	Material	Length (in feet)
4		
6	PVC & Cast Iron	Approx
8	PVC & Cast Iron	5,000
10		
12		
15		
18		
21		
24		
30		

SERVICES

Size (in inches)	Material	Quantity
4	PVC	49
6		
8		
12		
15		

FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY

SOLIDS PROCESSING AND HANDLING FACILITIES	None
DISINFECTION EQUIPMENT (Chlorinator, Ultra-Violet, Etc.)	Chlorinator, Dechlorinator
FILTRATION EQUIPMENT (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	None
STRUCTURES (Buildings, Fences, Etc.)	Fences, Shed
OTHER (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	None

COMPANY NAME Kings Ranch Unit II Treatment Plant

WASTEWATER FLOWS

MONTH/YEAR (Most Recent 12 Months)	NUMBER OF SERVICES	TOTAL MONTHLY SEWAGE FLOW	SEWAGE FLOW ON PEAK DAY
January 2001	17	1,843	Unknown
February 2001	17	1,699	Unknown
March 2001	17	2,381	Unknown
April 2001	17	2,146	Unknown
May 2001	17	2,909	Unknown
June 2001	17	1,065	Unknown
July 2001	18	1,123	Unknown
August 2001	18	2,131	Unknown
September 2001	18	734	Unknown
October 2001	18	1,699	Unknown
November 2001	18	1,886	Unknown
December 2001	18	1,613	Unknown

PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE

Method Of Effluent Disposal (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	Surface water discharge
Wastewater Inventory Number (all wastewater systems are assigned an inventory number)	100354
Groundwater Permit Number	N/A
ADEQ Aquifer Protection Permit Number	N/A
ADEQ Reuse Permit Number	N/A
EPA NPDES Permit Number	AZ 0023060

STATISTICAL INFORMATION

Total number of customers	18	
Total number of gallons treated	21,229	gallons

COMPANY NAME Kings Ranch Unit II Treatment Plant YEAR ENDING 12/31/2001

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported	<u>(17,217)</u>
Estimated or Actual Federal Tax Liability	<u>0</u>

State Taxable Income Reported	<u>(17,217)</u>
Estimated or Actual State Tax Liability	<u>0</u>

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances	<u>0</u>
Amount of Gross-Up Tax Collected	<u>0</u>
Total Grossed-Up Contributions/Advances	<u>0</u>

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

<u>Philip Albins</u>	<u>3-4-2002</u>
SIGNATURE	DATE
<u>PHILIP ALBINS</u>	<u>owner</u>
PRINTED NAME	TITLE

COMPANY NAME Kings Ranch Unit II Treatment Plant

YEAR ENDING 12/31/2001

PROPERTY TAXES

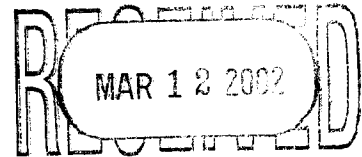
Amount of actual property taxes paid during Calendar Year 2001 was: \$ 1,342

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

VERIFICATION AND SWORN STATEMENT

Intrastate Revenues Only



VERIFICATION

STATE OF Arizona

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) <u>Yavapai</u>	Director of Utilities
NAME (OWNER OR OFFICIAL) TITLE <u>Philip Albins, Owner</u>	
COMPANY NAME <u>Kings Ranch Unit II Treatment Plant</u>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 25,332

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 732
IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SIGNATURE OF OWNER OR OFFICIAL
632-574-5532
 TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

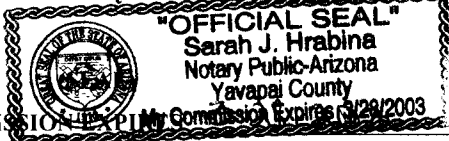
THIS

4th

DAY OF

COUNTY NAME <u>Yavapai</u>	
MONTH <u>March</u>	YEAR <u>2003</u>

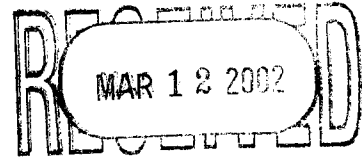
MY COMMISSION EXPIRES



SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION
COMMISSION



Director of Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

(COUNTY NAME) Yavapai	
NAME (OWNER OR OFFICIAL) Philip Albins	TITLE Owner
COMPANY NAME Kings Ranch Unit II Treatment Plant	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ 25,332

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 732
IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.

X Philip Albins
SIGNATURE OF OWNER OR OFFICIAL

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

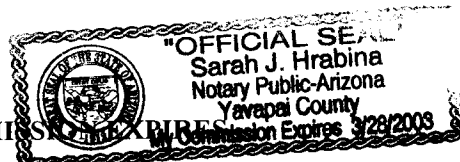
4th

DAY OF

NOTARY PUBLIC NAME Sarah J. Hrabina	
COUNTY NAME Yavapai	
MONTH March	2002

(SEAL)

MY COMMISSION



X Sarah J. Hrabina
SIGNATURE OF NOTARY PUBLIC

2001 TAX NOTICE

JAN. 1, 2001
TO
DEC. 31, 2001

COUNTY OF YAVAPAI

ARIZONA

ADDRESS: 1015 FAIR ST.
PRESCOTT AZ 86305LEGAL DESCRIPTION: SECTION TWN RNG ACRES
TOTAL VALUE OF OPERATING PROPERTY USE 5200TAX ROLL NUMBER
131114PARCEL IDENTIFICATION
984-15-020T 2TAX AREA CODE
5020IMPORTANT - SEE REVERSE SIDE
FOR COMPLETE EXPLANATION OF
YOUR 2001 TAX NOTICE AND
PAYMENT INSTRUCTIONS.

984-15-020T 2 131114

KINGS RANCH UNIT 11 TREATMENT PLANT

PHILIP ALBINS/OWNER

PO BOX 109

BLACK CANYON CIT

85324-0109



2001 TAX SUMMARY (3)

PRIMARY AD VALOREM TAX	9697
LESS STATE AID TO EDUCATION	0
NET PRIMARY AD VALOREM TAX	9697
SECONDARY AD VALOREM TAX	3726
SPECIAL DISTRICT TAX	0

PAY TOTAL OR HALF TAX

TOTAL TAX DUE	13424
HALF TAX	6712

DELINQUENT DATES

1st HALF NOV. 1, 2001

2nd HALF MAY 1, 2002

THIS IS THE ONLY NOTICE YOU WILL RECEIVE
NO RECEIPT WILL BE SENT UNLESS REQUESTED

PRIMARY PROPERTY TAX CALCULATION (1)

ITEM	LIMITED VALUE	ASSMT. %	ASSESSED VALUE	EXEMPTION	TAX RATE	AD VALOREM TAX
LAND, BLDGS, ETC.	0	250	0	0	94382	00
PERSONAL PROPERTY	41100	250	10275	0	94382	96978
TOTALS	41100		10275	0		96978

SECONDARY PROPERTY TAX CALCULATION (2)

ITEM	FULL CASH VALUE	ASSMT. %	ASSESSED VALUE	EXEMPTION	TAX RATE	AD VALOREM TAX
LAND	0	250	0	0	38449	00
BLDGS, ETC.	0	0	0	0	0	00
PERSONAL PROPERTY	41100	250	10275	0	36269	37266
TOTALS	41100		10275	0		37266

2000-2001 TAX COMPARISON (4)

TAX CODE	TAX JURISDICTION	2001 PRIMARY	2001 SECONDARY	2001 TOTAL	2000 TOTAL	DIFFERENCE
02000	YAVAPAI COUNTY & AHCCCS/ALTCS	16509	00	16509	00	1650
02001	SCHOOL EQUALIZATION	5111	00	5111	00	511
05050	CANON SD #50	59612	00	59612	00	5961
08150	YAVAPAI COMMUNITY COLLEGE	15746	4277	20023	00	2002
11220	BLACK CANYON FD	00	30825	30825	00	3082
11900	FIRE DISTRICT ASSISTANCE FUND	00	1030	1030	00	103
14900	YAVAPAI COUNTY LIBRARY DIST	00	1134	1134	00	113
	TOTALS	96978	37266	134244	00	13424

TEAR OFF ALONG DOTTED LINES

TEAR OFF ALONG DOTTED LINES